



#4A/N
UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER

FILING DATE

FIRST NAMED APPLICANT

ATTY DOCKET NO./TITLE

07/704,565

08/22/91

BERGER

J

26890-DIP

TOM N. MORAN
PATENT DEPT., SYNTEX (U.S.A.) INC.
3401 HILLVIEW AVE.
P.O. BOX 10850
PALO ALTO, CA 94303

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DATE MAILED:

08/04/91

NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED

A filing date has been granted to this application. However, the following parts are missing.

If all missing parts are filed within the period set below, the total amount owed by applicant as a

☒ large entity, ☐ small entity (verified statement filed), is \$ 120.00.

1. ☐ The statutory basic filing fee is: ☐ missing ☐ insufficient. Applicant as a ☐ large entity
☐ small entity, must submit \$ _____ to complete the basic filing fee and **MUST ALSO SUBMIT THE SURCHARGE AS INDICATED BELOW.**
2. ☐ Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. **NO SURCHARGE IS REQUIRED FOR THIS ITEM.**
3. ☐ The oath or declaration:
☐ is missing.
☐ does not cover items omitted at time of execution.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
5. ☒ The signature to the oath or declaration is: ☒ missing; ☐ a reproduction; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:

_____. An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Receipt Date is required. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$30.00 under 37 CFR 1.17(k), unless this fee has already been paid. **NO SURCHARGE IS REQUIRED FOR THIS ITEM.**
8. ☐ A \$50.00 processing fee is required for returned checks. (37 CFR 1.21(m)).
9. ☐ Your filing receipt was mailed in error because check was returned without payment.
10. ☐ Other.

An Application Number and Filing Date have been assigned to this application. The missing parts and fees identified above in items 1 and 3-6 must be timely provided **ALONG WITH THE PAYMENT OF A SURCHARGE** of \$120.00 for large entities or \$60.00 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all missing parts and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

Direct the response to, and any questions about, this notice to **ATTENTION: Application Division,**
Special Handling Unit 11183 09/12/91 07704565 19-5430 110 105 120.00EH

A copy of this notice MUST be returned with response.

For: Manager, Application Division

(703) 557-
35182



PATENTS
Case 26890-CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of

JACOB BERGER, et al.

SERIAL NO. 07/704,565

GROUP ART UNIT not yet known

FILED May 22, 1991

EXAMINER not yet known

TITLE: TRICYCLIC 5-HT₂ RECEPTOR ANTAGONISTS

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

TRANSMITTAL LETTER

Transmitted herewith for filing in the above-entitled patent application is/are the following:

Combined Declaration and Power of Attorney
Information Disclosure Statement

[X] Applicant(s) hereby petition for a two-month extension of time under 37 CFR 1.136(a):

[X] Please charge to deposit account No. 19-5430 the following:

[X] Surcharge-Late Declaration	\$120.00
[X] Extension Fee-Two Month	300.00
total:	\$428.00.

[X] The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Account No. 19-5430. A duplicate copy of this letter is enclosed.

Respectfully submitted,

Wayne W. Montgomery
Wayne W. Montgomery
Attorney for Applicant(s)
Registration No. P-35,016

Syntex Patent Law and Licensing Dept.
3401 Hillview Avenue
P.O. Box 10850
Palo Alto, California 94303
Telephone No. (415) 855-5564

Date: September 10, 1991

Case No. 26890-CIP

WWM.1607

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington D.C. 20231, on Sep. 10, 1991

(Date of Deposit)
Wayne W. Montgomery P-35,016
Name of applicant, assignee or Registered Rep.
Wayne W. Montgomery 9/10/91
Signature Date

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